



## FINANCIAL CRIMES Consulting Solutions

Booz Allen's financial crime consulting team provides a variety of innovative consulting solutions designed for AML/BSA compliance and efficiency.

The financial crimes team is comprised of former industry practitioners from top financial institutions, regulatory experts with backgrounds working in for U.S. regulators, and technologists from Booz Allen's Strategic Innovation Group. This hybrid team brings new answers to some of the industry's most pervasive challenges through technology and consulting services.

Booz Allen's financial crimes consulting services include:

- AML program compliance and efficiency
- Technology roadmap and evolution
- Transaction monitoring and tuning
- AML/BSA Training- agencyInsight Training Program

Booz Allen's deep expertise, regulatory insight, and 100-year consulting pedigree provide a markedly different experience for our customers. Our team has helped institutions overcome enforcement actions and consent orders, increase efficiency in AML operations, and optimize AML programs.

Booz Allen's financial services practice has been serving financial institutions for over 100 years with consulting and technology solutions.

- Serves 9 of the top 10 US Financial institutions
- Partners with 8 of the top 10 Global Banks
- Work with over 50 Regional Banking Institutions

### About Booz Allen's agencyInsight AML/BSA Training Program

With over 100 years of experience as a leading federal government management consulting firm, Booz Allen plays an integral role in shaping government regulations, supporting law enforcement, and influencing the intelligence community. Our professionals support federal regulators day in and day out, with well-positioned staff in agencies such as

the Financial Crimes Enforcement Network (FinCEN), Office of Foreign Assets Control (OFAC), Federal Bureau of Investigation (FBI), Customs and Border Patrol (CBP), and Internal Revenue Service (IRS).

agencyInsight captures Booz Allen's unique understanding and experience in government

and brings it directly to your AML compliance organization. Reaching back into our extensive network of experts, Booz Allen has developed a packaged suite of training courses and materials to improve the focus of your institution's AML program and keep your staff at the forefront of regulatory insight.

### About Booz Allen

Booz Allen Hamilton has been at the forefront of strategy and technology consulting for nearly a century. The firm provides business and technology solutions to major corporations in the financial services, health, and energy markets, leveraging capabilities and expertise developed over decades of helping US government clients in the defense, intelligence, and civil markets solve their toughest problems. Booz Allen is headquartered in McLean, Virginia, employs more than 22,000 people, and had revenue of \$5.48 billion for the 12 months ended March 31, 2014. In 2014, Booz Allen celebrated its 100th anniversary year. To learn more, visit [www.boozallen.com](http://www.boozallen.com). (NYSE: BAH)



# FINANCIAL CRIMES

## Technology Solutions

### riskCanvas™ AML Software

#### riskCanvas™- AML Solution

Booz Allen's financial crimes team believes that technology is a critical element in improving compliance and efficiency in AML programs within Financial Institutions. riskCanvas™ was designed a consortium of former industry practitioners, regulatory experts, and technologists. riskCanvas is built upon the most cutting edge technologies to deliver a seamless, high performance, and robust solution for AML teams.

#### End to End Solution or Stand-Alone Modules

riskCanvas™ is designed to provide comprehensive coverage for an AML program including major functions such as surveillance, risk-scoring, case management, and regulatory reporting.

Alternatively, due to the modular design of the product, riskCanvas™ can be sold and implemented as a modular solution which addresses a niche AML function. Individual modules include: surveillance, alert triaging, risk-scoring, due-diligence automation, investigator toolkit / single view of the customer, due diligence investigations, alert / case management, or advanced analytics. All of these can be implemented with your existing AML case management, surveillance, or other core AML applications.

#### Surveillance- Scenarios and Beyond

One of the core features of riskCanvas™ is transaction monitoring / surveillance. riskCanvas™ has robust surveillance features designed to reduce false positive alerts by up to 40-50% including:

- 200+ "Out of the Box" tunable scenarios
- Sophisticated post processing of alerts leveraging Apache Spark
- Next generation capabilities including customer clustering / segmentation, behavioral anomaly detection, and machine learning.

#### Investigation Acceleration

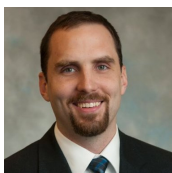
riskCanvas™ is designed to accelerate investigations. It does this mainly by aggregating all of the data that an investigator needs into a single screen and provides an interface for constructing the case file and/or SAR/STR.

- Single view of the customer
- Transaction toolkit (query various transaction types from a single screen)
- e3™ automated due diligence collection

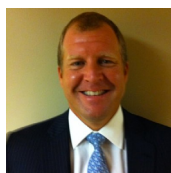


- KYC / CDD / onboarding
- Surveillance
- EDD / periodic reviews
- Post alert triaging
- Alert / case management
- Due diligence automation
- Investigation acceleration
- Regulatory report e-filing
- Advanced analytics and pattern detection

### Booz Allen's Financial Crimes team



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Booz Allen is committed to helping Financial Institutions achieve regulatory compliance, enhanced operational efficiency, and regulatory cost reduction. Our decades serving the regulators and law enforcement, combined with over 100 years of management consulting, and unmatched technology capabilities make us the best partner for your AML Program.

Visit our website to learn more: [boozallen.com/financialcrimes](http://boozallen.com/financialcrimes)